

**1. TAXPAYER IDENTIFICATION NUMBER**

*For joint accounts, provide SSN of both owners; for UGMA/UTMA use minor's SSN*

\_\_\_\_\_  
Social Security Number or Taxpayer Identification Number

\_\_\_\_\_  
Social Security Number of Joint Owner if Applicable

**2. ACCOUNT REGISTRATION**

*Please check only one type of registration below:*

**Individual** (may not be a minor)

\_\_\_\_\_  
Owner's Name (First, Middle, Last)

\_\_\_\_\_  
Date of Birth

**Joint\*** (may not be a minor)

\_\_\_\_\_  
Joint Owner's Name (First, Middle, Last)

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Joint Owner's Name (First, Middle, Last)

\_\_\_\_\_  
Date of Birth

**Gift/ Transfer to a Minor** (UGMA/UTMA)

\_\_\_\_\_  
Minor's Name (First, Middle, Last)

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Custodian's Name (First, Middle, Last)

\_\_\_\_\_  
Date of Birth

**Trust**

\_\_\_\_\_  
Name of Trust

\_\_\_\_\_  
Name of Trustee

\_\_\_\_\_  
Additional Trustee (if applicable)  
**Please attach a trust resolution**

\_\_\_\_\_  
Date of Trust

**Corporation or other Entity**

Type of Entity:    Corp.                  Partnership                  Other

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Name of Corporation, Partnership, Estate, etc.  
**Please attach a corporate / non-corporate resolution**

### 3. MAILING ADDRESS

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Street

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City, State, Zip Code

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Telephone

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Email Address

Owner's Citizenship:

U.S Citizen

U.S. Resident alien (list Country) \_\_\_\_\_

Non-Resident alien (residence for tax purposes) \_\_\_\_\_

*Non-resident aliens with a U.S. address must also submit IRS Form W-8*

### 4. ADDITIONAL ADDRESS OR BROKER DEALER INFORMATION (IF APPLICABLE)

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Name

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City, State, Zip Code

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Street

---

Broker Dealer Rep Name

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Broker Dealer Account Number

### 5. YOUR FUND SELECTION(S)

Minimum Initial Investment Amounts:

#### **Institutional Class**

\$100,000 per regular Fund Account.

\$100,000 with an Automatic Investment Plan.

*(for this option, also complete Sections 9 and 10)*

#### **Investor Class**

\$5,000 per regular Fund account.

\$5,000 with an Automatic Investment Plan.

*(for this option, also complete Sections 9 and 10)*

Payment by Check (Please make check payable to Gator Financial Fund).

Purchase by Wire (Call 1-855-270-2678 for instructions).

Gator Financial Fund: Inst. Class \$ \_\_\_\_\_

Investor Class \$ \_\_\_\_\_

### 6. DISTRIBUTION OPTIONS

All distributions will be reinvested into additional Fund shares unless you indicate otherwise by selecting payment by check.

Reinvest all Income Dividends and Capital Gains into my account (default).

Pay all Income Dividends and Capital Gains to me by check.

**7. TELEPHONE PURCHASE OPTION**

**Telephone Purchase of Shares Option:** This option allows you to make additional investments (\$1,000 minimum per purchase Institutional Class or \$100 minimum per purchase Investor Class) into your Gator Mutual Fund account(s) by phone. Upon your request, we will automatically withdraw the purchase directly from your bank account. To select this option, you must check the box below and complete Section 10.

I accept this option.

**8. TELEPHONE REDEMPTION OPTION**

**Telephone Redemption of Shares Option:** You can sell shares of your Fund by phone (\$25,000 maximum per day) and a check will be sent to your address of record. You will not be able to redeem by telephone and have a check sent to your address for a period of 15 days following an address change. You will automatically be granted telephone redemption privileges unless you decline them by checking the box below:

I decline this option. All requests to redeem shares from this account must be submitted in writing.

**9. AUTOMATIC INVESTMENT PLAN**

**Automatic Investment Program:** This option allows you to make automatic monthly or quarterly investments into your Gator Mutual Fund account(s) directly from your bank account. To establish a new account with this program you must initially invest at least \$100,000 per account and subsequent investments must be at least \$1,000 per purchase for the Institutional Class or at least \$5,000 per account and subsequent investments must be at least \$500 per purchase for the Investor Class. **You must also include the bank information in Section 10.**

I accept this option:

Monthly	Quarterly
_____	\$ _____
Fund and Class Name	Amount (\$1,000 minimum)
_____	\$ _____
Fund and Class Name	Amount (\$500 minimum)
Begin investment on _____ and on the _____	5 <sup>th</sup> or 20 <sup>th</sup> *
(month, year)	

*\*Investments will be made on the 20<sup>th</sup>, unless you select the 5<sup>th</sup>. Your first automatic investment will become available approximately 15 days after your application is processed.*

**10. BANK INFORMATION**

You must complete this section to make additional investments into your Gator Mutual Fund account(s) by telephone (see Section 7) or to establish an Automatic Investment Plan (see Section 9). **Please attach a voided, unsigned check or savings account deposit slip for the bank account you will be using for transfers.**

_____	_____
Name of Bank	City, State, Zip Code
_____	_____
Address of Bank	Name(s) on Bank Account
_____	_____
Bank Account Number	ABA Number (Available from your bank)
_____	
Bank Phone Number	
This is a:	Checking Account                      Savings Account

**11. SIGNATURES (ALL ACCOUNT OWNERS/TRUSTEES MUST SIGN)**

I certify that I have received and read the current Prospectus for the Gator Mutual Funds and understand its terms are incorporated in this application by reference. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence. I understand that the Gator Mutual Funds are not backed or guaranteed by any bank, or insured by the FDIC.

I authorize the Gator Mutual Funds and its agents to act upon instructions (by phone, in writing or other means) believed to be genuine and in accordance with procedures described in the Prospectus for this account or any account into which exchanges are made. I agree that neither the Gator Mutual Funds nor the transfer agent will be liable for any loss, cost or expense for acting on such instructions. Such entities will employ reasonable procedures to confirm that instructions communicated by phone are genuine, and may be liable for losses due to unauthorized or fraudulent instructions only if such procedures are not followed.

I authorize debits from the bank account referenced in conjunction with the account options selected. I agree that the Gator Mutual Funds shall be fully protected in honoring any such transaction. I also agree that the Gator Mutual Funds may make additional attempts to debit my account if the initial attempt fails and I will be liable for any associated costs. All account options selected (if any) shall become part of this application and the terms, representation and conditions thereof.

The Social Security Number or Taxpayer Identification Number shown on this application is correct.

I am not subject to backup withholding because: (a) I am exempt from back up withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding (*Cross out this item if you have been notified by the IRS that you are currently subject to backup withholding.*)

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

**All Owners/Trustees must sign. For UGMA/UTMAs, custodian should sign.**

_____ Signature of Individual Owner, Trustee, Custodian, etc.	_____ Date
_____ Signature of Individual Owner, Trustee, Custodian, etc. (if applicable)	_____ Date
_____ Signature of Joint Owner, Trustee, Custodian, etc. (if applicable)	_____ Date

**If you have any questions, please call: 1-855-270-2678**

**Please return application to:**

**Gator Mutual funds  
c/o Mutual Shareholder Services, LLC  
8000 Town Centre Drive, Suite 400  
Broadview Heights, OH 44146**

**MANDATORY COST BASIS REPORTING REQUIREMENT**

On October 12, 2010, the Internal Revenue Service (IRS) release the final mandatory cost basis regulations. These regulations were enacted as a result of the Economic Stabilization Act of 2008. As part of the new regulations, brokers and mutual fund companies are now required to provide cost basis reporting to the IRS.

Below is a list of cost basis methods available to you. Please select your preferred reporting method, sign and return your account application. You may select only **ONE** option. If you do not return this form, the default method of **AVERAGE COST** will be assigned to your account.

**IF YOU WOULD LIKE TO ELECT AVERAGE COST AS YOUR COST BASIS METHOD,  
THERE IS NO NEED TO RETURN THIS FORM WITH YOUR APPLICATION.**

**Please see reverse side of this form for cost basis method explanations**

1. Average Cost (ACST)
2. First In First Out (FIFO)
3. Last In First Out (LIFO)
4. Hight Cost First Out (HIFO)
5. Low Cost First Out (LOFO)
6. Loss/Gain Utilization (LGUT)
7. Specific Lot Identification (SLID)

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Print Name (for joint owner, custodian, trustee, etc.)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

## **COST BASIS METHODS**

**Average Cost (ACST)** – A method for valuing the cost of covered shares in an account by averaging the effect of all covered transactions in the account. The gain/loss is calculated by taking the cumulative dollar cost of the covered shares owned and dividing it by the number of covered shares in the account. Non-covered securities are calculated separately from covered securities and are not reported to the Internal Revenue Service.

**First in First Out (FIFO)** – A standing order to sell the oldest shares in the account first.

**Last In First Out (LIFO)** – A standing order to sell the newest shares in an account first.

**High Cost First Out (HIFO)** – A standing order to sell shares purchased at the highest cost first.

**Low Cost First Out (LOFO)** – A standing order to sell shares purchased at the lowest cost first.

**Loss/Gain Utilization (LGUT)** – A method that evaluates losses and gains then strategically selects lots based on the gain/loss in conjunction with a holding period.

**Specific Lot Identification (SLID)** – The shareholder needs to designate which specific shares to redeem when placing their redemption request. Please note that Average Cost cannot be used as a secondary accounting method. The secondary accounting method will only be activated if the lots chosen are no longer available.