

To obtain a copy of the IRA Account Application, please visit www.GatorMutualFunds.com or call toll-free 1-855-270-2678.

Please mail (or send overnight) the completed form to:

Gator Mutual Funds

c/o Mutual Shareholder Services, LLC 8000 Town Centre Drive, Suite 400

Broadview Heights, OH 44147

1. Social Security Number
Social Security Number
2. Investor Information (Please Print or Type)
Name (First, Middle, Last)
Street
City, State, Zip code
( ) Daytime Telephone Email Address
3. Transfer/Direct Rollover Assets From This Account
Name of Current Trustee, Custodian or Plan Employer
Address
City, State, Zip
( ) Telephone Number
Account Number
Please attach a copy of a recent account statement to help us locate your current account
4. Instructions to Current IRA Custodian/Plan Employer
I have established an IRA with Gator Mutual Funds. Please transfer (or direct rollover) assets, in <b>cash</b> , from the above account identified in Section 3 according to the instructions <b>(a)</b> , <b>(b)</b> , and <b>(c)</b> .
(a) • IRA Transfer From: (check one if IRA Transfer)  ☐ Traditional IRA ☐ Rollover IRA ☐ Roth IRA
☐ Roth Conversion IRA ☐ SEP-IRA ☐ SIMPLE IRA  • Direct Rollover From: (check one if Direct Rollover)
☐ Employer – Sponsored Plan ☐ Other
(b) Payment Information:
☐ Immediately liquidate all assets and send the cash proceeds. ☐ Partial Liquidation. \$or% and retain balance.
Send cash proceeds of all investments at maturity.
$\square$ Send the assets at maturity for the investments listed below.

Investment

Note. There may be a penalty for early liquidation.

 $\hfill \Box$  Other. Please attach additional transfer / direct rollover instructions.

Maturity Date

**Gator Mutual Funds** 

## IRA Transfer/Direct Rollover Request Form

IRA Transfer: Use this form and the Gator Mutual Funds IRA Account Application if you are transferring your existing IRA assets from another custodian directly to a new Gator Mutual Fund IRA.

Direct Rollover: Use this form and the Gator Mutual Fund IRA Account Application if you are directly rolling over your existing employer-sponsored retirement plan assets to a new Gator Mutual Fund IRA.

(c) Please make check payable to Gator Focus Fund or Gator Opportunities Fund and send it, along with a copy of this form to the above address.

If you prefer to wire funds, please call 1-855-270-2678 for instructions.

S. Instructions to Gator Mutual Funds (Please check one)  New IRA Account: Open a new Gator Focus Fund IRA/Gator Opportunities Fund IRA (circle one) account in accordance with the instructions in the attached IRA Account Application, and invest the transferred proceeds in this account.  Existing IRA Account: Invest the transferred proceeds in my existing Gator Focus Fund/ Gator Opportunities Fund (circle one) account.  Existing IRA Account: Invest the transferred proceeds in my existing Gator Focus Fund/ Gator Opportunities Fund (circle one) account.  Gator Mutual Fund Account Number  6. Signature of Investor (required)  I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus which I have been advised to read carefully before investing.  I understand that the requirements for a valid transfer to a Traditional IRA, SEP IRA, or Roth IRA are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.  I certify to the current IRA custodian, trustee or plan employer that I have established a successor Individual Retirement Custodial Account with the Gator Mutual Funds for which Huntington National Bank, N.A. acts as its custodian, and to which assets will be transferred (or directly rolled over).  X  Signature Guarantee: Please check with your current Trustee/Custodian / Plan Employer to determine if a signature guarantee is required to process this transfer.  A signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms. A Notary Public cannot provide a Signature Guarantee.  Name of Guarantor Institution / Guarantee Stamp  Authorized Signature  Title  For Office Use Only. To be completed by Huntington National Bank, N.A., Custodian for Gator Mutual Funds.  Huntington National Bank, N.A. agrees to accept custodianship and the transfer / direct rollover described above for the Gato	,	ustodian (or plan employer) to determine that erwork to ensure the timely transfer of assets.
Fund IRA (circle one) account in accordance with the instructions in the attached IRA Account Application, and invest the transferred proceeds in this account.  Existing IRA Account: Invest the transferred proceeds in my existing Gator Focus Fund/Gator Opportunities Fund (circle one) account.  Gator Mutual Fund Account Number  6. Signature of Investor (required)  I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus which I have been advised to read carefully before investing.  I understand that the requirements for a valid transfer to a Traditional IRA, SEP IRA, or Roth IRA are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.  I certify to the current IRA custodian, trustee or plan employer that I have established a successor Individual Retirement Custodial Account with the Gator Mutual Funds for which Huntington National Bank, N.A. acts as its custodian, and to which assets will be transferred (or directly rolled over).  X  Signature Guarantee: Please check with your current Trustee/Custodian / Plan Employer to determine if a signature guarantee is required to process this transfer.  A signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms. A Notary Public cannot provide a Signature Guarantee.  Name of Guarantor Institution / Guarantee Stamp  Name of Guarantor Institution / Guarantee Stamp  Authorized Signature Title  For Office Use Only. To be completed by Huntington National Bank, N.A., Custodian for Gator Mutual Funds.  Huntington National Bank, N.A. agrees to accept custodianship and the transfer / direct rollover described above for the Gator Focus Fund/Gator Opportunities Fund IRA established on behalf of the above named individual.  Huntington National Bank, N.A. accepts its appointment as successor custodian for the above IRA.	5. Instructions to Gator Mutua	l Funds (Please check one)
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